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## UTILITY . PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

2003-0954A Attorney Docket No.: First Named Inventor: Kazuto HIROKAWA POLISHING METHOD AND APPARATUS Express Mail Label No.:

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2. [] Small Entity Status is hereby asserted.

3. [X] Specification [Total Pages: 57]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. [X] Drawing(s) (35 USC 113)

[Total Sheets: 10]

5. [X] Oath or Declaration

[Total Pages: 3]

- a.1. [X] Newly executed (original or copy)
- a.2. [] Unexecuted
- [] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
  - i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: P.O. Box 1450 Alexandria, VA 22313-1450

7. [] CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

**Commissioner for Patents** 

- 8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [] Computer Readable Form
    - b. Specification Sequence Listing on:
      - i. [] CD-ROM or CD-R (2 copies); or
      - ii. [] Paper
    - c. [] The paper and computer readable copies are identical

#### ACCOMPANYING APPLICATION PARTS

- 9. [X] Assignment Papers (cover sheet & document(s))
- 10. [] 37 CFR 3.73(b) Statement (when there is an assignee)
  - [] Power of Attorney
- English Translation Document (if applicable) 11. []
- Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
- **Preliminary Amendment** 13. []
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. [X] Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. [] Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

of prior application No.

Prior Application Information: Examiner

**Group Art Unit** 

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently om<u>it</u>ted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513 PATENT TRADEMARK OFFICE

Nils E. Pedersen Registration No. 33,145

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax:(202) 721-8250

July 11, 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kazuto HIROKAWA

Serial No. NEW Attn: APPLICATION BRANCH

Filed July 11, 2003 Attorney Docket No. 2003-0954A

POLISHING METHOD AND APPARATUS

### PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,288.00 to cover Patent Office fees relating to filing the following attached papers:

New application	\$ <u>750.00</u>
Assignment for Recordal	\$ <u>40.00</u>
Additional Claims Fee:	
Excess of Twenty	\$162.00
Independent	

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Kazuto HIROKAWA

Ref Nils E. Pedersen

Registration No. 33,145 Attorney for Applicant

NEP/krl WENDEROTH, LIND & PONACK, L.L.P.

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July 11, 2003

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE [Check No. 56604] FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

2003 0954A

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